

Yolo County Health and Human Services Agency

BEHAVIORAL HEALTH SERVICES

Local Mental Health Board Mental Health Director's Report January 23, 2017

Public Guardian

We have successfully hired two staff for the Public Guardian's office. Laurie Haas has been hired as the Chief Deputy Public Guardian and will oversee the day to day functioning of the office. Laurie worked for the Public Guardian's office for 20 years before joining our In-Home Supportive Services team 8 years ago. She is a wealth of knowledge, comes with a full heart, and is driven to protect and care for our clients. We have also hired an additional conservatorship officer. Jeanine Weeks also worked in the Public Guardian's office for several years and is excited to be back in Yolo working with some of the same clients she served in the past. Several HHSA staff are working at the PG office including Sandra Sigrist, Adult & Aging Branch Director, as well as a Fiscal Supervisor and Administrative Secretary. Several of us are sharing the on-call responsibility as we figure out the structure moving forward. We are hoping to use Consumer Self Help as our Ombudsman for the rest of this fiscal year to assess need and budget moving forward.

Community Intervention Program (CIP)

Turning Point has evaluated their budget and could get CIP to 24/7 coverage with current resources if we removed peer support workers from the budget. Alternatively, we hope to include this increase in the three-year plan to provide for fuller coverage. We will have to re-apply for these funds or include in our ongoing Mental Health Services Act (MHSA) funds.

Crisis Intervention Training

We have seen an increase in the numbers of officers attending CIT from the Sheriff's department. They have begun sending custody staff as well as patrol officers. Additionally, Sacramento City is looking to invest significant resources into CIT for officers and require 40 hours. This would benefit our West Sacramento officers who partner with Sacramento for training.

Proposition 47 Grant Proposal

Staff from HHSA, District Attorney's office, Public Defender's office and Probation have been working together on a proposal for Proposition 47 funding for services. We hosted a variety of community input sessions in West Sacramento, Woodland, and Davis as well as a session aimed at community based organizations. This proposal is focused on providing intensive mental health services to individuals involved in the criminal justice system ([See summary attached](#)). We submitted our implementation plan for the Drug Medi-Cal Organized Delivery System to the California Department of Health Care Services on December 14, 2016.

Mental Health Services Act Housing

There is a contract dispute between the County and the contractor who completed the demolition of the old Peterson Clinic. Unfortunately, this dispute along with the weather have affected our timelines. We are hoping the delay doesn't run up against our deadline for the 9% tax credit financing which is 180 days from funding announcement.

Mental Health Services Act Three Year Plan

RDA and staff are working to finalize the three year plan draft for posting. We are sharing the proposed plan adjustments at community report back meetings this month and will also be sharing with the Board of Supervisors as well as LMHB before final approval.

Stepping Up Summit

53 of 58 California counties attended the Stepping Up Summit in Sacramento January 18-19. Supervisor Provenza, Captain Vaughn, Mashan Wolfe, Emily Kochly and I attended. I presented on getting started along with the Assistant Sheriff for Orange County. The conference was very helpful and provided for meaningful discussions amongst our team and opportunities to learn from other counties.

Child, Youth and Family Branch

Our new Child, Youth and Family Branch Director started on January 9. Her name is Jennie Pettet and she comes to us with over 30 years of child welfare experience. She has worked in every aspect of child welfare. Just prior to coming to work for us, Jennie was leading CWDA’s effort around Continuum of Care Reform.

Governor Brown’s Proposed 2017/2018 Budget

State revenues appear to be restricting and most financial experts are predicting a recession heading our way. As such, the Governor’s proposed budget has some items included that could impact our budget negatively. The big items are tied to our IHSS services and 1991 realignment as well as our State allocations for CalWorks and other social services.

Incoming Federal Administration

With the incoming federal administration, we are extremely concerned about the impact of the proposed elimination of the Affordable Care Act. Our statewide organizations are advocating for us but we are trying to plan for the worst. The ACA allowed us to cut our uninsured numbers by half, not to mention expanding behavioral health benefits for thousands of residents who wouldn’t otherwise have had access. We will continue to update you as we learn more.



County of Yolo

Health and Human Services Agency

Proposition 47 Project Summary

This project proposes to use Proposition 47 grant funds to expand Yolo County's existing continuum of criminal justice diversion programs. Specifically, the proposed project will apply the principles of restorative justice and trauma-informed care to provide wraparound services to individuals that are eligible for a diversion program, but are unlikely to succeed without intensive supports due to their history of mental health issues and/or substance use disorders.

Target Population

The project's target population will be individuals who:

- Have been arrested, charged with, or convicted of a criminal offense;
- Are currently eligible for a diversion program in Yolo County; and
- Have a history of mental health issues and/or substance use disorders
 - Per the grant stipulations, a person has a history of mental health issues or substance use disorders if the person:
 - Has a mental health issue or substance use disorder that limits one or more of their life activities;
 - Has received services for a mental health issue or substance use disorder;
 - Has self-reported to a provider that they have a history of mental health issues, substance use disorders, or both; or
 - Has been regarded as having a mental health issue or substance use disorder.

Existing Diversion Programs in Yolo County

As described below, there are two existing diversion programs in Yolo County, and one program that can operate as a diversion program in some cases.

Neighborhood Court	Proposition 47 Pilot	Mental Health Court <i>(Diversion in some cases)</i>
<ul style="list-style-type: none">• Diversion program for offenders who have committed low-level misdemeanors. Rather than prosecuting the offender, willing participants appear before a panel of community members, who apply the principles of restorative justice to establish an individually tailored treatment plan. Upon completion of the program the case is dismissed.	<ul style="list-style-type: none">• Diversion pilot program for offenders of a Prop 47 misdemeanor drug offense. Rather than prosecuting the offender, willing participants are assigned to community service or given an individually tailored treatment plan. Upon completion of the program their case is dismissed.	<ul style="list-style-type: none">• Combines judicial supervision with community mental health services to prevent or significantly reduce the jailing of offenders with mental illness. Upon completion of the program, in some instances the case may be dismissed or reduced depending on if the intervention occurred pre-charge or post-charge.

Identified Gap in Supportive Services

Despite the success of the existing diversion programs, local providers have identified that the programs do not always provide sufficient supportive services to ensure the success of all eligible participants. Currently, the Mental Health Court is the only diversion program that provides intensive services directly to participants. Both the Neighborhood Court and Proposition 47 Pilot rely on linkages to existing services in the community for any supports needed by participants. This solution is often inadequate for participants with mental health issues and/or substance use disorders, making them less likely to succeed in the program.

Proposed Project Description

The proposed project seeks to address this identified gap by providing robust wraparound care for participants of the Neighborhood Court and Proposition 47 Pilot who fall within the target population. Participants of the project will be offered a range of intensive services through the following five-step process to assist them in successfully completing their diversion program, stabilizing their mental health and/or substance use disorders, and reducing their risk of recidivism.

Step One: Outreach and Assessments

Project participants will be identified and engaged through the outreach and assessment component of the project. The Outreach and Assessment Team will include a staff of two (2) full-time Clinicians and one (1) full-time Probation Officer, who will work closely with staff in the District Attorney's Office and local law enforcement agencies. The Team will conduct outreach at a range of locations to identify potential participants, and will conduct assessments on each potential participant for risk of recidivism, mental health diagnoses, substance use disorders, and eligibility for the local diversion programs.

The District Attorney's Office will have full authority to make decisions regarding eligibility for diversion programs. Once this decision is made, the Team will provide input regarding the most appropriate level of care based on assessments using several tools, including:

- *Ohio Risk Assessment System (ORAS)*: Determines risk of criminal justice recidivism.
- *Level of Care Utilization System (LOCUS)*: Provides level of care recommendations for mental health treatment.
- *American Society of Addiction Medicine (ASAM) Placement Criteria*: Provides level of care recommendations for substance use treatment.
- *Adult Needs and Strengths Assessment (ANSA)*: Provides level of care and case planning recommendations for behavioral health treatment.
- *Vulnerability Index and Service Prioritization Decision Assistance Tool (VI-SPDAT)*: Assesses vulnerability level of individuals experiencing homelessness and provides recommendations regarding most appropriate type of housing solution.

The Matrix in Appendix A provides additional details regarding how participants will be assigned to an appropriate diversion program and level of supportive services based on their risk of recidivism and behavioral health needs.

Step Two: Diversion Program

Individuals deemed eligible and approved by the District Attorney's Office will be offered the opportunity to participate in the Neighborhood Court or Proposition 47 diversion programs. If a participant chooses to opt-in to the program, he or she will work with the existing diversion team to address their criminal offenses and become connected to the services described in the following steps. An important element of the diversion is the application of restorative justice. Using a panel of

volunteers, the project will convene conferences allowing the participant to interact with community members and repair the harm caused by his or her criminal behavior.

The existing diversion team at the District Attorney's Office will be expanded to include an additional full-time Paralegal to address the added workload associated with growing the programs.

Step Three: Intensive Case Management and Treatment

Once admitted to a diversion program, participants will be connected with the case management and treatment component of the project. The Case Management Team will utilize one (1) Clinical Program Manager, one (1) Clinician, and two (2) Case Managers to create and implement individualized case plans for each participant. Using trauma informed care and other evidence-based practices, the case plans will include wraparound planning and residential treatment when applicable. Additionally, two (2) Peer Recovery Support Specialists will provide recovery support through mentorship and educational sessions, and one (1) Employment Specialist will assist clients with job readiness, identifying potential employers, and securing employment. This component will also include the purchase of several vehicles and travel vouchers to meet the transportation needs of the participants.

Step Four (Optional): Civil Legal Services

In addition to the criminal legal issues being addressed by the District Attorney's Office, some participants may have civil legal problems. Participants with these issues will be connected with the Civil Legal Services component of the program for legal advice and assistance. The civil legal services will include assisting participants to address any non-criminal barriers to accessing basic necessities such as healthcare, housing, government benefits, employment, and educational services.

Step Five (Optional): Permanent Housing Assistance

Any participants who are unstably housed or experiencing homelessness will be connected with the housing assistance component of the project. Participants will be offered assistance with paying rent, for a period of no more than 24 months. Participants may also be offered financial assistance with paying deposits and/or utility bills to secure permanent housing and purchasing needed household items when a participant moves-in to new housing. The housing assistance will not be used to fund any temporary housing solutions, such as shelter or transitional housing.

Project Partners

While the Yolo County Health and Human Services Agency (HHSA) will serve as the project's lead agency and the Yolo County District Attorney's Office will oversee the project's diversion component, HHSA will rely heavily on many other partnerships and collaborations. If funded, HHSA intends to subcontract approximately 70 percent of the grant award to various community-based organizations to provide the outreach and assessment, case management and treatment, civil legal services, and permanent housing assistance components.

Additionally, HHSA plans to establish a Local Advisory Committee comprised of multiple stakeholders to further ensure collaboration. When selecting members of the committee, HHSA will be mindful of numerous factors including regional equity and geographic diversity.

Appendix A: Yolo County Diversion Program Matrix

Behavioral Health Needs (BH) ↑ High ↓ Low	Level II: BH ↑ CJ ↓	Level IV: BH ↑ CJ ↑
	<p>Target Population:</p> <ul style="list-style-type: none"> ○ Low to moderate risk of criminal justice recidivism ○ Moderate to High behavioral health needs <p>Diversion Program:</p> <ul style="list-style-type: none"> ○ Neighborhood Court <p>Level of Care:</p> <ul style="list-style-type: none"> ○ Supports from Proposition 47 grant, including: <ul style="list-style-type: none"> ▪ Intensive case management ▪ No formal probation ▪ Mental health and/or substance use disorder treatment ▪ Rapid re-housing assistance ▪ Peer supports ▪ Employment assistance ▪ Civil legal assistance 	<p>Target Population:</p> <ul style="list-style-type: none"> ○ High risk of criminal justice recidivism ○ High behavioral health needs <p>Diversion Program:</p> <ul style="list-style-type: none"> ○ Mental Health Court <p>Level of Care:</p> <ul style="list-style-type: none"> ○ Supports from HHSA, including: <ul style="list-style-type: none"> ▪ Intensive case management ▪ Formal probation with significant oversight and reporting requirements ▪ Mental health and/or substance use treatment ▪ Housing assistance ▪ Wellness Center and Peer supports ▪ Employment assistance ▪ Civil legal assistance
	Level I: BH ↓ CJ ↓	Level III: BH ↓ CJ ↑
	<p>Target Population:</p> <ul style="list-style-type: none"> ○ Low risk of criminal justice recidivism ○ Mild to moderate behavioral health needs <p>Diversion Program:</p> <ul style="list-style-type: none"> ○ Neighborhood Court OR Proposition 47 Pilot <p>Level of Care:</p> <ul style="list-style-type: none"> ○ Linkages to existing community supports, including: <ul style="list-style-type: none"> ▪ Pre-Trial Adult Probation with limited oversight and reporting requirements ▪ Day Reporting Center ▪ Mental health and/or substance use disorder treatment ▪ Rapid re-housing assistance ▪ Peer supports ▪ Employment assistance ▪ Civil legal assistance 	<p>Target Population:</p> <ul style="list-style-type: none"> ○ High risk of criminal justice recidivism ○ Mild to moderate behavioral health needs <p>Diversion Program:</p> <ul style="list-style-type: none"> ○ Proposition 47 Pilot <p>Level of Care:</p> <ul style="list-style-type: none"> ○ Supports from Proposition 47 grant, including: <ul style="list-style-type: none"> ▪ Intensive case management ▪ Pre-Trial Adult Probation with significant oversight and reporting requirements ▪ Day Reporting Center ▪ Mental health and/or substance use treatment ▪ Rapid re-housing assistance ▪ Peer supports ▪ Employment assistance ▪ Civil legal assistance
Low	Risk of Criminal Justice Recidivism (CJ)	High

Appendix B: Proposed Budget

BUDGET LINE ITEM	% OF TOTAL	YEAR 1	YEAR 2	YEAR 3	TOTAL
Community-Based Organization Subcontracts (External)					
Outreach and Assessment	70%	\$200,000	\$200,000	\$200,000	\$600,000
Case Management and Treatment		\$700,000	\$700,000	\$700,000	\$2.1M
Civil Legal Services		\$100,000	\$100,000	\$100,000	\$300,000
Rapid Re-Housing		\$400,000	\$400,000	\$400,000	\$1.2M
Lead Agency Services (Internal)					
District Attorney Diversion Programs	14%	\$115,000	\$115,000	\$115,000	\$345,000
Probation		\$150,000	\$150,000	\$150,000	\$450,000
Grant Administration and Monitoring (Internal)					
Project Administration	11%	\$100,000	\$100,000	\$100,000	\$300,000
Data Collection and Evaluation		\$133,000	\$133,000	\$133,000	\$400,000
Overhead (Internal)					
Project Overhead (10% Indirect Cost Rate)	5%	\$100,000	\$100,000	\$100,000	\$300,000
					TOTAL \$6M