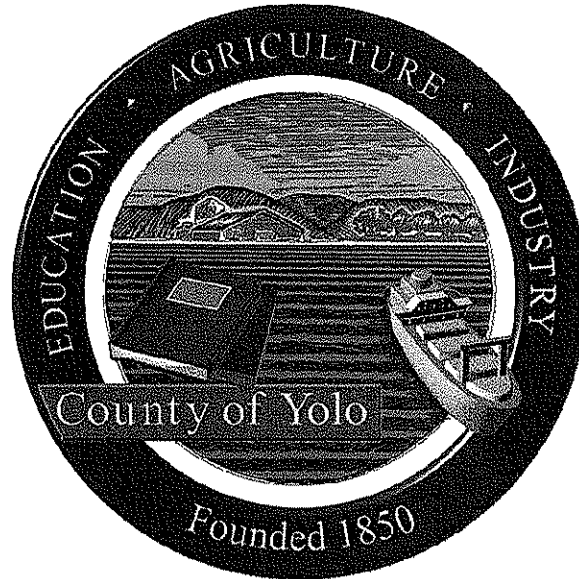


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YOLO COUNTY

MENTAL HEALTH SERVICES ACT

Community Services and Supports Fiscal Year 2007 - 2008

2nd Performance Contract Amendment Request (Augmentation)

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PART I: INTRODUCTION AND OVERVIEW

Effective May 1, 2006, the California Department of Mental Health (DMH) approved Yolo County's Three-Year Community Services and Supports (CSS) Program and Expenditure Plan under the Mental Health Services Act. Subsequently, Yolo County Department of Alcohol, Drug and Mental Health Services (hereafter ADMH) was awarded approximately \$1.8 Million per year in MHSA Community Services and Supports funding for each of the three fiscal years, 2005-06, 2006-07 and 2007-08.

In Fall of 2006, DMH notified counties that additional CSS funding of \$763,700 was available, and in Fall of 2007, ADMH submitted its first MHSA CSS Performance Contract Amendment Request. This request detailed a plan by ADMH to expand the county's MHSA CSS Adult Wellness Alternatives Program to provide Assertive Community Treatment Team services to approximately 50 additional FSP clients. This program expansion specifically targeted high-acuity clients who were either at imminent risk of being placed in a locked facility, or who had experienced a lengthy stay in an institute for mental disease (IMD) and were in need of support at the Full Service Partnership level with Assertive Community Treatment Team services in order to reintegrate into the community. Approval of this program expansion was received on March 7, 2008.

While this first CSS Performance Contract Amendment was pending, DMH notified counties that additional one-time funding was available for the provision of services to persons with severe mental illnesses (DMH Information Notice No. 07-21). For Yolo County, \$501,600 in additional funding could be requested to further expand CSS mental health services at the local level. This Second MHSA CSS Performance Contract Amendment Request details Yolo County's request for this additional MHSA revenue.

Yolo County's Community Services and Supports component of MHSA includes four programs, all of which deliver a blend of Outreach and Engagement, System Development, and Full Service Partnership services:

1. *Greater Capay Valley Children's Pilot Program*, serving children aged up to 18, living in western rural Yolo County;
2. *Pathways to Independence for Transition Age Youth*, serving individuals aged 16 to 25 with serious psychiatric disabilities, offering services throughout the county and through a youth center in Woodland;
3. *Wellness Alternatives for Adult Consumers*, serving seriously mentally ill individuals aged 18 and over, including community services offered throughout the county, on-site services at a Wellness Center in Woodland, and (with our first expansion) West Sacramento-based Assertive Community Treatment services to high-acuity clients;
4. *Outreach and Assessment for Older Adult Consumers* to identify individuals aged 60 and over with mental illnesses, to facilitate access to mental health

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treatment, and provide necessary supports to allow older adults to maintain self-sufficiency.

The two largest programs, Pathways to Independence for transition age youth and Wellness Alternatives for Adult Consumers, operate two centers in Woodland, and serve as the hub for MHSA services to these populations. Both centers are open Monday through Friday, from 10:00 a.m. to 5:00 p.m.; all individuals who are identified or prospective clients of the mental health system are welcome to come to these centers. Center programs include a range of mental health, life skills, and enrichment activities in a comfortable and friendly atmosphere. Consumer and Family Member Job Interns and Mental Health Program Specialists provide peer support and help with scheduled activities at the centers. Program staff also delivers case management and outreach and engagement services in the greater community.

Until recently, Yolo County also operated a program under AB2034, located in West Sacramento, which was specifically implemented to serve homeless individuals with serious mental illnesses. This program provided “whatever it takes” wraparound-type services, including housing, to as many as 33 clients. Last summer, this program was cut from the state’s budget, effective July 1, 2007, and program services were retroactively terminated. Yolo County ADMH and its stakeholders did not want to leave these clients abruptly un-served and thereby risk prompting their return to homelessness, incarceration, or hospitalization. Some former AB 2034 clients were stable enough to maintain their own housing and to begin receiving services from the county’s core adult program. In an effort to continue serving those 25 clients for whom leaving the program was counter-indicated, ADMH reduced staff and rationed resources. Outreach and engagement of new homeless mentally ill clients had to be dramatically reduced. Using unexpended AB 2034 funding rolled over from fiscal year 06-07, the county was able to continue uninterrupted services to those clients in highest need until March of 2008.

Earlier in the fiscal year, one of our primary community-based organization (CBO) contract providers had been forced to close its three regional resource centers in Woodland, Davis and (most notably) West Sacramento, due to cutbacks in funding. Out of concern for West Sacramento clients whose programs had been eliminated, Yolo County MHSA proposed the use of \$62,088 in unassigned CSS One-Time funding to open a consumer-operated drop-in center in West Sacramento, under an agreement with the same CBO that had managed the regional resource centers. Unfortunately, before state approval could be secured, the CBO was forced to withdraw and the plan was abandoned.

Meanwhile, as Yolo County ADMH suffered further cuts in core funding, it became clear that the clients rendered un-served by the closure of the AB2034 program would have to be served by the Adult Wellness Alternatives Program of MHSA. This was the only reasonable alternative to consider, as these clients, all of whom were seriously mentally ill and had been homeless, qualified for MHSA Full Service Partnership services. Further, FSP services rendered through the Adult Wellness Alternatives program most

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closely resembled those previously offered clients of AB 2034 and hence, would cause the clients the least disruption of their lives. In our quarterly stakeholder meetings in August of 2007 and January, February and March of 2008, our community stakeholders were presented with pertinent information and indicated their support of this plan of action. It was clear to ADMH, however, that without additional CSS funding, sustaining FSP services for these clients would not be possible.

In this request, only one program will be expanded in ways that address gaps in existing services, primarily in West Sacramento. With this expansion, the MHSA Adult Wellness Alternatives program will increase Outreach and Engagement, System Development and Full Service Partnership services to un-served and underserved adult clients, primarily those who are seriously mentally ill and homeless or at-risk of homelessness.

Yolo County ADMH hereby respectfully requests that the Second MHSA CSS Performance Contract Amendment Request set forth in this document be granted, and that the sum of \$501,600 be released to Yolo County for one-time augmentation of its funding for FY 08-09, for the sole and specific purpose of expanding its Adult Wellness Alternatives Program. Total annual funding for this CSS program for the current fiscal year is estimated at \$2,002,772; if this request is granted, funding for all CSS programs and administration will total \$3,211,500.

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PART II: UPDATE OF THE THREE-YEAR PROGRAM AND EXPENDITURE PLAN

A. The county must submit to DMH an update to its MHSA CSS Three-Year Program and Expenditure Plan that is signed by the County Mental Health Director. The update must include:

- 1. A list of the proposed new and/or expanded programs/services, identified by the service category under which the program/service will be funded.**

This proposed expansion applies to only one program, MHSA CSS Program 3, Wellness Alternatives for Adult Consumers. Like all other Yolo County CSS programs, this is a blended program; that is, it includes a blend of all service types: Outreach and Engagement (O/E), System Development (SD) and Full Service Partnership (FSP).

- 2. A description of each program/service, including the population to be served, number of clients served, services to be provided and methods of service delivery.**

Program description: The Wellness Alternatives for Adult Consumers Program provides a blend of Outreach and Engagement (O/E), System Development (SD) and Full Service Partnership (FSP) services to individuals with serious mental illnesses living in Yolo County, who are un-served, underserved, or otherwise unable to access mental health services. FSP clients receive wraparound-type services; they are either homeless or at-risk of homeless, frequently have a co-occurring substance abuse disorder, and often are not actively receiving benefits. FSP clients with very high acuity receive Assertive Community Treatment Services in the community. System Development clients receive limited case management assistance and often participate in activities and services offered at the Wellness Center. Outreach and Engagement clients are those seriously mentally ill individuals engaged by our staff in the community, in homeless shelters, in substance abuse detoxification treatment centers, in hospitals, homeless camps, etc.

Number of clients to be served: Our proposal for expansion of the Wellness Alternatives program includes the provision of FSP services to up to 25 additional clients annually. System Development services will be provided to up to 25 additional clients each year (most specifically, to those former AB2034 clients who, because of their progress in recovery, are now able to function more independently and hence, will most likely not be engaged as FSP clients). Outreach and Engagement services serve approximately 50 additional clients annually, with the emphasis of this aspect of the program expansion to increase O/E services rendered to the un-served seriously mentally ill individuals in West Sacramento.

Services to be provided: FSP—Expansion of supportive housing, transitional housing, integrated services with law enforcement, culturally appropriate services,

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outreach to homeless, independent living skills instruction, transportation, group and individual support, benefits assistance, substance abuse services, crisis intervention, medication support, vocational supports. SD—Case management services, socialization, culturally appropriate services, substance abuse services, group support, crisis intervention. O/E—Outreach to chronically homeless individuals with SMI, outreach to homeless shelters and river camps, ongoing efforts to engage homeless who are mentally ill.

Methods of service delivery: Expansion of services delivered to clients in the community, where they live. FSP clients receive the broadest services, accessible at the Wellness Center (open to all), in the community, and in their homes. “Housing First” is the priority for FSP clients. Consumer staff serves as part of the team and assist clients in accessing goods and services in the community. SD clients need access to culturally appropriate services, support in recovery, and vocational, delivered to Wellness Center. Increase of outreach and engagement in urban area, in shelters, parks and near the river.

3. An explanation of how each program/service relates to the issues identified in the Community Program Planning Process, including how each program/service will reduce or eliminate the disparities identified in the CSS assessment in the County’s existing Three-Year Program and Expenditure Plan.

In the Yolo County CSS community planning process, stakeholders identified the following issues/needs (among others) for adult consumers:

- Housing
- Treatment for co-occurring disorders
- Job support/vocational services
- Assistance with criminal justice issues
- Crisis intervention/stabilization
- Benefits Assistance
- Peer support programs
- Expansion of homeless services

All of these services/issues are addressed by the Adult Wellness Alternatives program, and the provision of related services are intended to reduce disparities among all genders, ethnicities, and cultures in the adult population of seriously mentally ill individuals living in West Sacramento (most particularly, the homeless). As per page 34 of its CSS Three Year Program and Expenditure Plan, all of Yolo County’s populations and age groups were identified as underserved.

4. An assessment of the County’s capacity to implement the proposed programs/services, including the factors listed in Section 3650(a)(1) of the CCR.

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Yolo County has demonstrated its capacity to implement the proposed expansion of the Adult Wellness Alternatives program by virtue of the county's success in serving homeless mentally ill clients in West Sacramento for several years through its AB 2034 program. Although the ADMH AB 2034 program was restricted to West Sacramento, it is important to mention that MHSA stakeholders recognized the need for similar services to be provided to the homeless mentally ill throughout the county. The AB 2034 program, having served as many as 33 West Sacramento clients at a given time using a "housing first" model, while maintaining continuing outreach and engagement efforts in the community, in homeless shelters and in riverbank camps, has afforded ADMH the opportunity to demonstrate its dedication to offering recovery-based services to the homeless mentally ill. The successful outcomes of the AB 2034 programs in our county and across California served to stimulate the development of our Adult Wellness Alternatives program. After modeling its Adult Wellness Alternatives program after the AB 2034 program (and most particularly those services made available to FSP clients who are homeless or at-risk of homelessness), this MHSA CSS program is the most appropriately equipped program in Yolo County to accommodate the needs of clients rendered un-served by the sudden ending of the AB 2034 program. This augmentation of funding will permit the department to expand existing services in order to provide these clients with continued housing, mental health, and case management resources they need.

Yolo County is committed to sustain the expanded levels of services and supports necessary to address the needs of individuals in our community. Should future allocations of MHSA funds not support this expansion, alternative funding would need to be identified. Nevertheless, the recent loss of AB 2034 funding has created gaps in service not present at the time of the initial Community Planning Process that took place in 2005 and 2006. Quite suddenly, many high-acuity clients were again at risk, and a population believed to be well served for this geographic area was suddenly un-served. Although this circumstance creates a challenge with regard to sustainability, ADMH will continue to look for alternatives to sustain these valuable services for our community through this expansion of the Adult Wellness Alternatives program.

5. A statement explaining how the requirements of the Community Program Planning Process in Section 3300 of the CCR were met.

Expansion of the Adult Wellness Alternatives Program to accommodate those additional clients left un-served and at risk of homelessness in West Sacramento was discussed at general MHSA Stakeholder Meetings on August 23, 2007 and January 3, 2008, and at PEI Planning Meetings in February and March, 2008, where a portion of each meeting was devoted to MHSA Community Services and Supports issues. On February 25, 27 and 28, 2008, initial PEI Planning meetings were held in the three major cities of the county. All meetings were publicly noticed throughout the county, and further notification was made to all interested stakeholders who had provided e-mail addresses since the inception of the

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stakeholder process. A variety of stakeholders was represented at these meetings, including consumers, family members, and interested individuals representing various ages, ethnicities, cultures, and client-serving local agencies. No stakeholder objections were noted with regard to the expansion of the MHSA CSS Adult Wellness Alternatives program in order to meet the needs of the clients referenced above.

6. Documentation of the local review process required by Section 3315.
[Process is pending; noted in italics.]

In accordance the above-referenced regulation, any proposed amendment to the MHSA Performance Contract must be made available for stakeholder review and comment for 30 days prior to submission to DMH. No public hearing is required.

Commencing on or before April 30, 2008, copies of the draft MHSA CSS Second Performance Contract Amendment Request (Augmentation) were circulated to representatives of stakeholder interests, as follows:

- *Posted on the Internet at www.yolocounty.org, at this location:
<http://www.yolocounty.org/Index.aspx?page=993>*
- *Posted on the Internet at www.namiyolo.org;*
- *E-mailed or mailed via U.S. Postal Service to each member of the Local Mental Health Board;*
- *E-mailed to each member of the Yolo County Board of Supervisors;*
- *Hard copies sent via county courier or U.S. Postal Service to all public libraries in Yolo County, with the request that the document be made available for public viewing at the resource desk during regular hours of operation;*
- *Hard copies delivered to county mental health service centers in Woodland, Davis and West Sacramento, and to the Department of Social Services "One-Stop" center in Woodland;*
- *E-mailed to all stakeholder participants on the MHSA distribution list.*

In addition, a copy of the draft amendment request was sent, via e-mail or U.S. Postal Service, to any interested party who requested it.

During the 30-day public review period, the stakeholder community was advised of the public review process by the publishing of announcements in the following newspapers:

- *The Woodland Daily Democrat (daily)*
- *The Davis Enterprise (daily)*

Notice of the review and comment period was also posted on the Internet at <http://www.yolocounty.org/Index.aspx?page=993> and at www.namiyolo.org; the notice included reference to the Yolo County website and a phone number for requesting a copy of the draft proposal. Copies of the public notice were also

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posted at all Yolo County Post Offices, public libraries, mental health offices and service centers, the Department of Social Services One-Stop Center in Woodland, and at the Yolo County Administration Building. Opportunities for translation of this document for monolingual Spanish- and Russian-speaking individuals were outlined in the announcement. Sample announcements are included here in Attachments 1 and 2.

For ease of public review and comment, the last page of the MHSA CSS Second Performance Contract Amendment Request (Augmentation) was a blank feedback form. No public hearing was required or planned.

In the 30-day examination period, XXX written comments were received. These comments are summarized and attached hereto as Attachment 3; included as well is the response by the ADMH Management Team to each submission.

The finalized MHSA CSS Second Performance Contract Amendment Request (Augmentation) was forwarded to the California Department of Mental Health on May __, 2008.

7. Certification by the County Mental Health Director that the County will comply with the non-supplant requirements of Section 3410 of the CCR.

See Attachment 4.

B. For each new CSS program(s) or service(s) not already included in its Three-Year Program and Expenditure Plan, in addition to the elements set forth in section 3650(a)(6), the update shall also include...

Not applicable to this funding request; no new programs or services are proposed.

C. Provide a brief description of the proposed program expansion (e.g., population to be served, increased number of clients served, new services added, new methods of service delivery, etc.), the amount of funding being requested and the proposed effective date.

With this expansion of the CSS Adult Wellness Alternatives Program, clients of West Sacramento will receive the same services previously offered by the AB 2034 program and currently offered under MHSA Adult and TAY programs, including access to client-driven mental health treatment and services, housing, treatment for co-occurring disorders, vocational services, assistance with criminal justice issues, crisis intervention and crisis stabilization, assistance with benefits issues, and programs promoting peer support. In addition to serving approximately 25 Full Service Partnership clients in this blended program, Yolo County MHSA will provide System Development services in the community, with specific emphasis on those clients who were previously enrolled in AB 2034 services and who have "graduated" to greater self-sufficiency. Furthermore, Yolo

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County ADMH will outreach to and engage approximately 50 clients in the West Sacramento Area meeting the description of being seriously mentally ill and homeless or at serious risk of homelessness. Services and methods of service delivery will vary little from those provided under the previous program. Yolo County respectfully requests an augmentation of \$501,600 to provide these services, effective May 2008, for the remainder of the 07-08 Fiscal Year, and for approximately 10 months into the next fiscal year, or until funds expended for this purpose are exhausted.

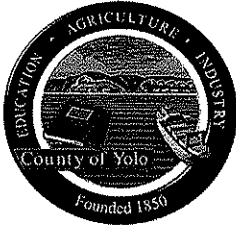
D. Statement regarding the net cost per client resulting from this program expansion.

The approximate net cost per client for this expansion will not exceed that costs estimated in the originally approved MHSA CSS Three-Year Program and Expenditure Plan, to wit: \$17,000 per client per year; hence, Yolo County is not required to submit Budget Worksheets/Exhibits 5a and 5b for this expansion.

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TABLE OF ATTACHMENTS

| | |
|--------------|-----------------------------------------------------------|
| Attachment 1 | Notice of 30-Day Public Comment Period |
| Attachment 2 | Notice sample (pending) |
| Attachment 3 | Summary and Analysis of Public Comment Received (pending) |
| Attachment 4 | County Certification |
| Attachment 5 | 30-Day Public Comment Form (blank) |



ALCOHOL, DRUG AND MENTAL HEALTH DEPARTMENT

Edmund C. Smith, Deputy County Administrator

MENTAL HEALTH SERVICES ACT

137 N. Cottonwood Street, Suite 1530

Woodland, CA 95695

Office – 530-666-8547

Fax – 530-661-6762

NOTICE OF 30-DAY PUBLIC COMMENT PERIOD

2nd PERFORMANCE CONTRACT AMENDMENT REQUEST FOR THE THREE-YEAR MHSA COMMUNITY SERVICES AND SUPPORTS PLAN

To all citizens, residents and interested stakeholders, Yolo County Department of Alcohol, Drug and Mental Health Services, in accordance with the Mental Health Services Act, is publishing this notice for a 30-day comment period for the following document:

2nd Performance Contract Amendment Request (Augmentation)

The public comment period will begin on Wednesday, April 30, 2008 and end at 12:00pm (Noon) on Thursday, May 29, 2008. Interested persons may provide written comments during the public comment period. **Written comments and/or questions should be addressed to: Edmund C. Smith, Deputy County Administrator, or Joan Beesley, MHSA Program Manager, 137 N. Cottonwood Street, Suite 1530, Woodland, CA 95695.** For questions call 530-666-8547.

No public hearing on this matter is required.

If you would like to review the 2nd Performance Contract Amendment Request, a link to this document is located on the Internet at the Yolo County website <http://www.yolocounty.org/Index.aspx?page=993> and the document is posted at www.namiyolo.org. The document is also available by request at the reference desk of all public libraries in Yolo County and in the waiting areas of these Mental Health offices:

137 N. Cottonwood St. Suite 1500
Woodland, CA 95695
Monday – Friday
8:00 a.m. to 5:00 p.m.

600 A Street
Davis, CA 95616
Monday – Friday
8:00 a.m. to 5:00 p.m.

500-B Jefferson Blvd.
West Sacramento, CA 95605
Monday – Friday
8:00 a.m. to 5:00 p.m.

To request reasonable accommodation or translation of either of these documents into other languages or formats, contact **Violet Menendez** at 530-666-8547, no later than 5:00 p.m. on Friday, May 23, 2008.

**Par asistencia en Español llame a Carmela Luna al (530) 666-8632 or 916-375-6350
За помощью с переводом на русский язык звоните Татьяне Солимене
по телефону (530) 757-5534 или (916) 375-6350**

ATTACHMENT 1

Community Services and Supports
FY 2008/09 Plan Update

COUNTY CERTIFICATION

I hereby certify that I am the official responsible for the administration of Community Mental Health Services in and for _____ County and that the following are true and correct:

This Community Services and Supports Plan Update is consistent with the Mental Health Services Act. This Plan Update is consistent with and supportive of the standards set forth in Title 9, California Code of Regulations (CCR) Section 3610 through 3650.

This Plan Update has been developed with the participation of stakeholders, in accordance with CCR Sections 3300, 3610, and 3315. The draft Plan Update was circulated for 30 days to stakeholders for review and comment. All input has been considered, with adjustments made, as appropriate.

Mental Health Services Act funds are and will be used in compliance with CCR Section 3410 of Title 9, Non-Supplant.

All documents in the attached Community Services and Supports Plan Update are true and correct.

Date: _____ Signature _____
Local Mental Health Director

Executed at: _____

Yolo County
Department of Alcohol, Drug and Mental Health Services
137 N. Cottonwood Street, Suite 1530
Woodland, CA 95695
Telephone: 530 666-8547
Mental Health Services Act (MHSA) / Prop.63

Community Services and Support (CSS)
2nd Performance Contract Amendment Request (Augmentation)
for the Three-Year MHSA CSS Program and Expenditure Plan

30 DAY PUBLIC COMMENT FORM
Public Comment Period—April 30, 2008-May 29, 2008

PERSONAL INFORMATION (optional)

Name: _____

Agency/Organization: _____

Phone Number: _____ Email address: _____

Mailing address: _____

MY ROLE IN THE MENTAL HEALTH COMMUNITY

Client/Consumer
 Family Member
 Education
 Social Services

Service Provider
 Law Enforcement/Criminal Justice
 Probation
 Other (specify) _____

WHAT DO YOU SEE AS THE STRENGTHS OF THE PLAN?

IF YOU HAVE CONCERNS ABOUT THE PLAN, PLEASE EXPLAIN

Please note:

Mail or hand deliver to above-noted address no later than Noon on Thursday, May 29, 2008.

ATTACHMENT 5