

County of Yolo



## INTERNAL AUDIT FY 2018-19 WORKPLAN OVERVIEW

**Provide assurance of fiscal  
accountability and integrity.**

Department of Financial Services

[DFS Overview 2018-19](#)[Core Activities, Values, and Strategies](#)

### Internal Audit Team

[Mary Khoshmashrab, MSBA, CPA, Internal Audit Manager](#)[Kim Eldredge, CGAP, Audit Supervisor](#)[Noemy Mora-Beltran, Auditor II](#)

### Percentage of Goals Completed

Goal 1: 0%

Goal 2: 0%

Goal 3: 0%

Goal 4: 0%

Goal 5: 0%

Goal 6: 0%

Total Overall Percentage Completed: 0%

### Performance Measures

1. Billable vs. Non-billable - Quantity
2. Budgeted vs. Actual Audit Hours by Engagement - Outcome
3. Percentage of Divisional Goals Achieved - Outcome
4. Percentage of Planned Projects vs. Actual Projects Completed - Outcome

[Performance Measures 18-19](#)

### FY 18-19 (Long-term or Carryforward) Engagements

The Division of Internal Audit's work plan consist of short and long-term goals, subtasks, and other activities that we seek to accomplish as a division and as an independent part of the Department of Financial Services. Additionally, the work plan includes the Division's annual and continuous audit plan, countywide risk assessment, and other engagements, such as providing training and guidance to other Departments and County stakeholders.

[Internal Audit Goals and Activities FY18-19](#)[Internal Audit Annual Audit Plan FY18-19](#)

### What's New and Exciting in the IA Division!!!

Current Events, Training, Good Guidance etc...

### Vision and Mission

The County of Yolo's Department of Financial Services (Department), Division of Internal Audit (Division) Business Plan for the period of July 1, 2018 through June 30, 2019 is prepared and presented to the Chief Financial Officer of the Department of Financial Services, and upon request shared with the members of the Financial Oversight Committee, for the purpose of defining the Division's vision, mission, values and strategies for the period which is designed to work in concert with the County and the Department's values, goals, and tactical plans, and fosters a successful implementation.

#### Vision and Mission

To assists and support the County of Yolo and the Departments to become the "poster" organization for the Local Government in the State of California regarding fiscal soundness of accountability, integrity, and transparency as a government entity by providing excellent customer service, internally to county staff, and externally while partnering with other public and private entities.

To accomplish the office's role in supporting the County and the Department the division's audit staff will help to provide reasonable assurances of accountability by maintaining independence as part of the county's oversight authority, and by conducting countywide risk assessments, various types of audits and compliance reviews, special request reviews of emerging issues, and various types of consulting services such as workshops on efficiencies around processes, internal controls, and analysis of operations or functions.

The county and the department's fiscal accountability is further measured by the auditors concluding that the conditions of the County's Officials and Management are found to be:

- 1. Effective and efficient in the County's daily business operations;
- 2. Applicable laws, statutes and regulations that govern the county's programs and operations are being adhered to;
- 3. Corrective actions and recommendations are timely implemented, and
- 4. Monitoring and continuous process improvement is present throughout the County's operations.